

AFTRS SCHEDULE OF DOCUMENTS – ATTACHMENT A

Year	Entity	Document/Activity/Element of FOI request	Completion	Comments
2016	AFTRS	FARM Committee Minutes/ Finance Audit and Risk management Committee annual assessment of AFTRS Fraud Risk/ <i>(s10(a) ...Fraud risk assessment)</i> <i>(s10(b/d) ...developing and implementing Fraud control plan; mechanism detecting...and reporting)</i>	24 June 2016	Reporting by FARM Committee to ANAO (External Auditor)
2016	AFTRS	Internal Audit Activity Plan 2016-17/ Approval of audit topics and schedule/ <i>(s10(b/d) ...developing and implementing Fraud control plan; mechanism detecting...and reporting)</i>	24 June 2016	Presented by Internal Auditor (Deloitte)
2016	AFTRS	AFTRS Business Risk Assessment (1 July 2015—30 June 2018/ Business Risk Assessment inclusive of fraud risk/ <i>(s10(a) ...Fraud risk assessment)</i>	24 June 2016	Provided to Internal Auditors (Deloitte)
2016	AFTRS	Fraud Control Plan 16/17—17/18/ Review of Fraud Control Plan/ <i>(s10(a) ...Fraud risk assessment)</i> <i>(s10(b/d) ...developing and implementing Fraud control plan; mechanism detecting...and reporting)</i>	11 July 2016	Reviewed on a biennial review schedule
2016	AFTRS	PGPA Compliance Report/ PGPA compliance reporting by executives inclusive of fraud risk compliance/ <i>(s10(a) ...Fraud risk assessment)</i> <i>(s10(b/d) ...developing and implementing Fraud control plan; mechanism detecting...and reporting)</i>	26 August 2016	-
2017	AFTRS	FARM Committee Minutes/ Finance Audit and Risk management Committee annual assessment of AFTRS Fraud Risk/ <i>(s10(a) ...Fraud risk assessment)</i> <i>(s10(b/d) ...developing and implementing Fraud control plan; mechanism detecting...and reporting)</i>	23 June 2017	Reporting by FARM Committee to ANAO (External Auditor)

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2017	AFTRS	Internal Audit Activity Three-year Plan/ Approval of audit topics and schedule/ (s10(b/d) ...developing and implementing Fraud control plan; mechanism detecting...and reporting)	23 June 2017	Presented by Internal Auditor (Deloitte)
2017	AFTRS	Fraud Control Action Plan/ Review of Fraud Action Plan/ (s10(b/d) ...developing and implementing Fraud control plan; mechanism detecting...and reporting)	23 June 2017	Reviewed in the alternate year to Fraud Control Plan
2017	AFTRS	AFTRS Business Risk Assessment (2017–2018) / Business Risk Assessment inclusive of fraud risk/ (s10(a) ...Fraud risk assessment)	31 August 2017	Provided to Internal Auditors (Deloitte)
2017	AFTRS	PGPA Compliance Report/ PGPA compliance reporting by executives inclusive of fraud risk compliance/ (s10(a) ...Fraud risk assessment) (s10(b/d) ...developing and implementing Fraud control plan; mechanism detecting...and reporting)	31 August 2017	-
2018	AFTRS	FARM Committee Minutes/ Finance Audit and Risk management Committee annual assessment of AFTRS Fraud Risk/ (s10(a) ...Fraud risk assessment) (s10(b/d) ...developing and implementing Fraud control plan; mechanism detecting...and reporting)	22 June 2018	Reported by FARM Committee to ANAO (External Auditor)
2018	AFTRS	Fraud Control Plan 18/19—19/20/ Review of Fraud Control Plan/ (s10(a) ...Fraud risk assessment) (s10(b/d) ...developing and implementing Fraud control plan; mechanism detecting...and reporting)	22 June 2018	Reviewed on a biennial review schedule
2018	AFTRS	PGPA Compliance Report/ PGPA compliance reporting by executives inclusive of fraud risk compliance/ (s10(a) ...Fraud risk assessment) (s10(b/d) ...developing and implementing Fraud control plan; mechanism detecting...and reporting)	31 August 2018	-

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2018	AFTRS	Internal Audit Activity Three-year Plan/ Approval of audit topics and schedule/ <i>(s10(b/d) ...developing and implementing Fraud control plan; mechanism detecting...and reporting)</i>	31 August 2018	Presented by Internal Auditor (KPMG)
2018	AFTRS	Internal Audit- Risk Management Report/ Audit delivery/ <i>(s10(b/d) ...developing and implementing Fraud control plan; mechanism detecting...and reporting)</i>	30 November 2018	Presented by Internal Auditor (KPMG)
2019	AFTRS	FARM Committee Minutes/ Finance Audit and Risk management Committee annual assessment of AFTRS Fraud Risk/ <i>(s10(a) ...Fraud risk assessment)</i> <i>(s10(b/d) ...developing and implementing Fraud control plan; mechanism detecting...and reporting)</i>	21 June 2019	Reported by FARM Committee to ANAO (External Auditor)
2019	AFTRS	Internal Audit Activity Three-year Plan/ Approval of audit topics and schedule/ <i>(s10(b/d) ...developing and implementing Fraud control plan; mechanism detecting...and reporting)</i>	21 June 2019	Presented by Internal Auditor (KPMG)
2019	AFTRS	AFTRS Business Risk Assessment (2019—2020) / Business Risk Assessment inclusive of fraud risk/ <i>(s10(a) ...Fraud risk assessment)</i>	2 September 2019	Provided to Internal Auditors (Deloitte)
2019	AFTRS	PGPA Compliance Report/ PGPA compliance reporting by executives inclusive of fraud risk compliance/ <i>(s10(a) ...Fraud risk assessment)</i> <i>(s10(b/d) ...developing and implementing Fraud control plan; mechanism detecting...and reporting)</i>	2 September 2019	-
2019	AFTRS	Fraud Control Plan 19/20—20/21/ Review of Fraud Control Plan/ <i>(s10(a) ...Fraud risk assessment)</i> <i>(s10(b/d) ...developing and implementing Fraud control plan; mechanism detecting...and reporting)</i>	29 November 2019	Reviewed on a biennial review schedule